Structuring effectful programs BOB 2023

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This is a talk about abstraction

Aspects of your code ...

- ... that are awkward to express in a purely functional style.
- ... you might want to abstract from.
- ... you might want to interpret differently.
- ... that are inherently side effects.



More concretely / examples

- state (i.e., mutable variables)
- error handling, exceptions
- non-determinism
- logging
- concurrency
- randomness
- disk access
- database access
- networking
- ▶ ...



Most applications need various effects.

We would like to control them:

- safety,
- flexibility,
- design.



In Haskell, we generally use **monads** (and related interfaces such as (applicative) functors to model effects).

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embeds a "pure" value of type a into M a without making use of any effects.



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embeds a "pure" value of type a into M a without making use of any effects.

(≫=) :: M a -> (a -> M b) -> M b

sequences two effectful operations, where the second can depend on the results of the first.



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pure x ≫= k = k x m ≫= pure = m

(pure should really not use any effects.)

 $(m \gg k) \gg 1 = m \gg (\langle x - k \rangle \times 1)$

 (\gg) should really sequence.)



How to find the right monadic type?

We can design a specific type M that does everything we need.



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But then:

- We have to define the instances by hand.
- Easy to make mistakes / extra work to be convinced that the laws are satisfied.
- Encourages us not to make changes, or to be very imprecise.
- Inflexible if we want different implementations for different settings (in particular testing / staging).



We can construct a type M in some way out of a library of effects.

Two popular approaches for this are:

- monad transformers,
- (algebraic / extensible) effects.



Examples

```
validate payload = do
 let pid = payloadId payload
 liftIO (putStrLn ("Validating " <> show pid))
 case checkPayload payload of
   0k \rightarrow do
     ctr <- get
     put (ctr + payloadSize payload)
   NotOk \rightarrow do
     liftIO (putStrLn ("Ignoring payload " <> show pid))
   FatalError ->
     throwError (FatalValidationError ...)
```

mtl and transformers packages are originally by Andy Gill and Ross Paterson



Effectful code using mtl / transformers

```
validate ::
 Payload -> StateT Int (ExceptT ValidationError IO) ()
validate payload = do
 let pid = payloadId payload
 liftIO (putStrLn ("Validating " <> show pid))
 case checkPayload payload of
   0k \rightarrow do
     ctr <- get
     put (ctr + payloadSize payload)
   NotOk \rightarrow do
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class MonadState s m where
  get :: m s
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class MonadState s m where
  get :: m s
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```
class MonadError e m where
  throwError :: e -> m a
  catchError :: m a -> (e -> m a) -> m a
```



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class MonadIO m where liftIO :: IO a -> m a



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class MonadState s m where
  get :: m s
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```
class MonadError e m where
  throwError :: e -> m a
  catchError :: m a -> (e -> m a) -> m a
```

class MonadIO m where liftIO :: IO a -> m a

... and suitable instances such that in

StateT Int (ExceptT ValidationError IO) ...

we can use all these methods.



```
validate ::
  ( MonadState Int m
  , MonadError ValidationError m
  , MonadIO m
  ) => Payload -> m ()
validate payload = do
  ... -- exactly as before
```



```
validate ::
  ( State Int :> es
  , Error ValidationError :> es
  , IOE :> es
  ) => Payload -> Eff es ()
validate payload = do
  ... -- exactly as before
```



effectful package is by Andrzej Rybczak

All of these versions are bad

... because of course we should **abstract**!

```
validate payload = do
 let pid = payloadId payload
 liftIO (putStrLn ("Validating " <> show pid))
 case checkPayload payload of
   0k \rightarrow do
     ctr <- get
     put (ctr + payloadSize payload)
   NotOk \rightarrow do
     liftIO (putStrLn ("Ignoring payload " <> show pid))
   FatalFrror ->
     throwError (FatalValidationError ...)
```



Our use of state

```
validate payload = do
....
case checkPayload payload of
    Ok -> do
        ctr <- get
        put (ctr + payloadSize payload)
...</pre>
```



Our use of state

```
validate payload = do
...
case checkPayload payload of
    Ok -> stepCounterBy (payloadSize payload)
...
stepCounterBy :: State Int :> es => Int -> Eff es ()
stepCounterBy i = do
    ctr <- get
    put (ctr + i)</pre>
```



```
validate payload = do
  . . .
 case checkPayload payload of
   Ok -> countPayload payload
  . . .
stepCounterBy :: State Int :> es => Int -> Eff es ()
stepCounterBy i = do
 ctr <- get
 put (ctr + i)
countPayload :: State Int :> es => Payload -> Eff es ()
countPayload payload =
 stepCounterBy (payloadSize payload)
```



```
Similarly:
logMsg :: IOE :> es => String -> Eff es ()
logMsg msg = liftIO (putStrLn msg)
stop ::
   Error ValidationError :> es
   => ValidationError -> Eff es a
stop err = throwError err
```





This version is still bad

We have abstracted the terms, but not the types

```
Transformers:
validate ::
  ( MonadState Int m
  , MonadError ValidationError m
  , MonadIO m
  ) => Payload -> m ()
```

```
Effects:
validate ::
  ( State Int :> es
  , Error ValidationError :> es
  , IOE :> es
  ) => Payload -> Eff es ()
```



Libraries (by necessity) offer mostly low-level effects:

- They are the fundamental building blocks.
- They are most widely applicable.



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- They are the fundamental building blocks.
- They are most widely applicable.
- ... but they are also least informative!



- If we need a counter, we should reflect that in the types (and not use State).
- If we need a logger, we should reflect that in the types (and not use I0).

▶ ...



- If we need a counter, we should reflect that in the types (and not use State).
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▶ ...

class MonadCounter m where
 stepCounterBy :: Int -> m ()
class MonadLogger m where
 logMsg :: String -> m ()



- If we need a counter, we should reflect that in the types (and not use State).
- If we need a logger, we should reflect that in the types (and not use I0).

▶ ...

```
class MonadCounter m where
  stepCounterBy :: Int -> m ()
class MonadLogger m where
  logMsg :: String -> m ()
```

(These classes are also usable for an effects library, and/or you can define new effects ...)



- If we need a counter, we should reflect that in the types (and not use State).
- If we need a logger, we should reflect that in the types (and not use I0).

▶ ...

```
data Counter :: Effect where
   StepCounterBy :: Int -> Counter m ()
type instance DispatchOf Counter = Dynamic
stepCounterBy :: Counter :> es => Int -> Eff es ()
stepCounterBy = send . StepCounterBy
```



validate ::

- (MonadCounter m
- , MonadError ValidationError m
- , MonadLogger m
-) => Payload -> m ()

The use of low-level effects (such as State or IO) should generally be an implementation detail.



```
validate ::
MonadValidate m => ... -> m ...
```

The use of low-level effects (such as State or IO) should generally be an implementation detail.

We can go yet further to an application-specific effect ...



What is the better type for **countPayload** ?

countPayload :: MonadCounter m => Payload -> m ()
countPayload :: MonadValidate m => Payload -> m ()



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countPayload :: MonadCounter m => Payload -> m ()
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The former is more precise.



What is the better type for **countPayload** ?

countPayload :: MonadCounter m => Payload -> m ()
countPayload :: MonadValidate m => Payload -> m ()

The former is **too** precise.



The pitfalls of excessive bottom-up design

- f1 :: MonadX1 m => m ...
- f2 :: MonadX2 m => m ...
- f3 :: MonadX3 m => m ...
 - . . .



The pitfalls of excessive bottom-up design

```
f1 :: MonadX1 m => m ...
f2 :: MonadX2 m => m ...
f3 :: MonadX3 m => m ...
...
```

```
composition ::
( MonadX1 m
, MonadX2 m
, ...
, MonadX1000 m
) => m ...
```



Being precise about effects, and propagating them bottom-up leads to:

- a temptation to grant functions all effects they (seem to) need,
- sometimes, difficulty in adding effects that would be useful,
- many different combinations of effects when combining code.



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- many different combinations of effects when combining code.

Often, effects interact, and their interpretations interact. It is easier to reason about few sets of specific combinations.



- Think about what effects certain parts of your applications really need, and also what effects they should not need (such as general IO).
- Be (over-permissive) in allowing a function belonging to one component all these effects, but be cautious in adding new ones.
- Keep testing (different implementations of effects in mind at all stages).



- Abstract! Do not let your choice of effects library leak too much.
 Abstract both the implementation and the types.
- Use meaningful, application-oriented effects rather than overly generic ones.
- Push effects down rather than letting them bubble up.
- Do not unnecessarily commit to one implementation. Keep testing in mind.

